

RD 7/11/2000

For Hand Delivery to Group 1612 at the U.S. Patent and Trademark Office

Docket No. 8603-0190.20

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Trost and Hachiya

SERIAL No.: 09/498,701

FILED: February 7, 2000

FOR: CATALYTIC COMPOSITIONS AND
METHODS FOR ASYMMETRIC ALLYLIC
ALKYLATION

EXAMINER: Desai

ART UNIT: 1612

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Information Disclosure Statement Within Three Months of
Application Filing or Before First Action - 37 CFR 1.97(b)

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

1. Timing of Submission

This information disclosure is being filed within three months of the filing date of this application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever occurs last [37 CFR 1.97(b)]. The references listed on the enclosed Form PTO-1449 (modified) may be material to the examination of this application; the Examiner is requested to make them of record in the application.

2. Cited Information

☒ Copies of the following references are enclosed:

- ☒ All cited references
- ☐ References marked by asterisks
- ☐ The following:

☐ Copies of the following references can be found in parent application Ser. No. :

- ☐ All cited references
- ☐ References marked by asterisks
- ☐ The following:

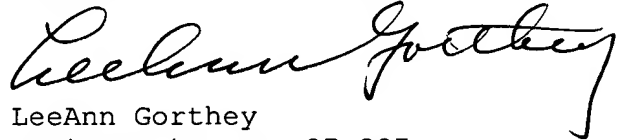
3. Effect of Information Disclosure Statement (37 CFR 1.97(h))

This Information Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

4. Fee Payment

No fees are believed due. However, should the Commissioner determine that fees are due in order for this Information Disclosure Statement to be considered, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 04-0531.

Respectfully submitted,



LeeAnn Gorthey
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Date: 7-7-00

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